



PTO/SB/08A/B (09-06)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	10/591,804
Filing Date	September 6, 2006
First Named Inventor	John M. Lucas
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	06005/41116

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-20020019672	02-14-2002	Paunonen	
	A2	US-20020022894	02-21-2002	Eryurek et al.	
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	A5	US-20020077711-A1	06-20-2002	Nixon et al.	
	A6	US-20020193888	12-19-2002	Wewalaarachchi et al.	
	A7	US-20030014500	01-16-2003	Schleiss et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	B1	EP-0813129	12-17-1997	Foxboro Co		
	B2	EP-1122652	08-08-2001	Mitsubishi Electric Corp		
	B3	GB-2349958	11-15-2000	Fisher Rosemount Systems Inc		
	B4	GB-2372365	08-21-2002	Fisher Rosemount Systems Inc		

Examiner Signature	/Crystal J. Barnes-Bullock/	Date Considered	11/22/2009
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CJB/

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/591,804
				Filing Date	September 6, 2006
				First Named Inventor	John M. Lucas
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	2	of	3	Attorney Docket Number	06005/41116

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	B12	WO-2005/109123	11-17-2005	Fisher-Rosemount Systems, Inc.		
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	B18	WO-2005/109130	11-17-2005	Fisher-Rosemount Systems, Inc.		
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	B20	WO-2005/109250	11-17-2005	Fisher-Rosemount Systems, Inc.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 501.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	CAMO product datasheet, "The Unscrambler 9.6," <a href="http://www.camo.com">www.camo.com</a> , no date	
	C2	Developers.sun.com, "Core J2EE Patterns - Data Access Object," CORE J2EE PATTERN CATALOG (2004). Retrieved from the Internet on 02/01/2006: <URL: <a href="http://web.archive.org/web/20040414043406/java.sun.com/blueprints/corej2eepatterns/PatternCatalog.html">http://web.archive.org/web/20040414043406/java.sun.com/blueprints/corej2eepatterns/PatternCatalog.html</a> >	

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	C3	Han et al., "Web Based rSPC (realtime Statistical Process Control) System Supporting XML Protocol," ISIE, Vol. 1, pp.399-403 (2001).	
	C4	Mehta et al., "Feedforward Neural Networks for Process Identification and Prediction," Neural Network and Expert Systems Presentation (2001).	
	C5	Mohr et al., "BizTalk and Application Integration - Translating Between Message Specification: BizTalk Mapper," Professional BizTalk, pp.1-3 (2001).	
	C6	StatSoft product datasheet, "Statistica, Multivariate Statistical Process Control," www.statsoft.com. <u>no date</u>	
	C7	Tzovla et al., "Abnormal Condition Management Using Expert Systems," Neural Network and Expert Systems Presentation (2001).	
	C8	Umetrics product datasheet, "SIMCA-P 11" and "SIMCA-P+ 11," www.umetrics.com. <u>no date</u>	
	C9	W3C, "XSL Transformations (XSLT)," W3C Recommendation, pp.3 (1999).	
	C10	International Search Report for International Application No. PCT/US2005/015588, mailed August 26, 2005.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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